

Applicant: CHAN et al.

Examiner: Unknown

Serial No.: 10/537,963

Group Art Unit: Unknown

Filed: June 7, 2005

Docket: 11669.0150USW1

Confirmation No.: 1445

Due Date: March 20, 2006

Title: TRANSGENIC MICE EXPRESSING HUMAN CD20 AND/OR CD16

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408494413 US
Date of Deposit: March 9, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By: 
Name: John Junkers

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

23552

PATENT TRADEMARK OFFICE

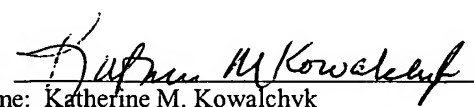
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notification of Missing Requirements Under 35 U.S.C. 371
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Supplemental Application Data Sheet, 5 pages
- ☒ Check(s) in the amount of \$130.00 for payment of Missing Requirements Completion Fee
- ☒ Other: Communication Regarding Submission of Missing Requirements
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
612.332.5300

By: 
Name: Katherine M. Kowalchuk
Reg. No.: 36,848
KMK/mkc

10-11-04
10-11-04

S/N 10/537,963

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	CHAN et al.	Examiner:	Unknown
Serial No.:	10/537,963	Group Art Unit:	Unknown
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By: 

Name: John Junkers

SUBMISSION OF MISSING REQUIREMENTS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Requirements completion fee.

Respectfully submitted,

23552

PATENT TRADEMARK OFFICE

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: March 9, 2006

By: 

Katherine M. Kowalchyk

Reg. No. 36,848

KMK/mkc



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/537,963	FIRST NAMED APPLICANT Chee-Yuen Chan	ATTY. DOCKET NO. 11669.0150USW1
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INTERNATIONAL APPLICATION NO. PCT/US03/39686	
I.A. FILING DATE 12/11/2003	PRIORITY DATE 12/16/2002

23552
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 MINNEAPOLIS, MN 55402-0903

KMK
 Miss Reg 2: 03/24/2006
 Miss Reg 3/PTA: 04/20/2006
 Miss Reg Stat: 07/20/2006 *SB*

CONFIRMATION NO. 1445

371 FORMALITIES LETTER



OC000000017348078

Date Mailed: 01/20/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/07/2005
- Copy of the International Search Report filed on 06/07/2005
- Copy of IPE Report filed on 06/07/2005
- Preliminary Amendments filed on 06/07/2005
- Information Disclosure Statements filed on 06/07/2005
- Oath or Declaration filed on 06/07/2005
- Request for Immediate Examination filed on 06/07/2005
- Copy of references cited in ISR filed on 06/07/2005
- U.S. Basic National Fees filed on 06/07/2005
- Priority Documents filed on 06/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/537,963	PCT/US03/39686	11669.0150USW1